

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)
Number of Pages in this Submission 16

Application Number: 10/027,911
Filing Date: 12-21-01
First Named Inventor: Ida, et al.
Group Art Unit: 2811
Examiner: Unknown
Attorney Docket No.: 1280.SC11963ZC

COPY OF PAPERS
ORIGINALLY FILED ENCLOSURES (check all that
apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Response
- ☐ After Final
- ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Request for Corrected Filing Receipt
- ☐ Letter to Draftsperson
- ☒ Formal Drawings (after initial filing)
- ☐ Issue Fee Transmittal
- ☐ Request for Corrected Assignment
- ☐ Response to Notice to File Corrected Application Papers
- ☒ Additional Enclosure(s) (please identify below): Preliminary Amendment

Remarks:

Firm Name	Simon, Galasso & Frantz, PLC P.O. Box 26503 Austin, Texas 78755-0503	
Signature of Applicant, Attorney, or Agent		
Name and Registration No.:	J. Gustav Larson, Reg. No. 39,263	Date: 3-1-01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 3-4-02.

Terri Alloway
Typed or Printed Name

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ida, et al.

Title: SEMICONDUCTOR APPARATUS

App. No.: 10/027,911

Filed: 12-21-01

Examiner: Unknown

Group Art Unit: 2811

Atty. Dkt. No. 1280.SC11963ZC

COPY OF PAPER
ORIGINALLY FILED

Attention: Box Missing Parts
Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:


In response to the Notice to File Missing Parts of Application mailed January 24, 2001, please find enclosed herewith:

- ☒ a Transmittal Form;
- ☒ a copy of the Notice to File Missing Parts of Application;
- ☒ a Fee Transmittal Form;
- ☒ a combined declaration and power of attorney executed on behalf of Motorola, Inc.;
- ☒ an Assignment to Motorola, Inc. with Recordation Form Cover Sheet;
- ☒ a Preliminary Amendment
- ☒ 3 Sheets of Formal Drawings; and
- ☒ a return postcard.

Respectfully submitted,

Date

3-1-01


Gustav Larson, Reg. No. 39,263
Attorney for Applicant(s)
Simon, Galasso & Frantz, PLC
P.O. Box 26503
Austin, Texas 78755-0503
(512) 336-8597 (phone)
(512) 336-9155 (fax)

MAR 14 2002

FEE TRANSMITTAL FORM FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,156.00

Application Number	10/027,911
Filing Dat	12-21-01
First Named Inventor	Ida, et al.
Group Art Unit	2811
Examiner Name	
Attorney Docket Number	1280.SC119632C

COPY OF PAPER
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Complete if Known METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	13-4773
Deposit Account Name	MOTOROLA, INC.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	740.00
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 29	(-20 =) 9	18.00	162.00
Indep. 4	(-3 =) 1	84.00	84.00
Multiple Dep.			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18 203 9		Claims in excess of 20	
102 84 202 42		Independent claims in excess of 3	
104 280 204 140		Multiple dependent claim	
109 84 209 42		Reissue Independent claims over original patent	
110 18 210 9		Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 246.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	130.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to Institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 740 246 370		Filing a submission after final rejection (37 CFR 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

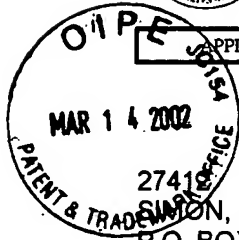
* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$) 170.00**

SUBMITTED BY: Simon, Galasso & Frantz, PLC For MOTOROLA, INC.			Complete (if applicable)	
Typed or Printed Name		Jam s L. Clingan, Jr.		Reg. No.: 30,163
Signature	James L. Clingan, Jr.	Date	2/27/02	Deposit Account User ID



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,911	12/21/2001	Richard T. Ida	1280.SC11963ZC

2741
SIMON, GALASSO & FRANTZ PLC
P.O. BOX 26503
AUSTIN, TX 78755-0503

CONFIRMATION NO. 9938

FORMALITIES LETTER



OC000000007351638

COPY OF PAGE
ORIGINALLY FILED

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/19/2002 BABRAHA1 00000051 134773 10027911 FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH
02 FC:105 130.00 CH
03 FC:103 144.00 CH
04 FC:102 84.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$228.
 - \$144 for 8 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1098.

*A copy of this notice **MUST** be returned with the reply.*

Is. Brown
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE